PTO/SB/17 (12-04v2)
Approved for use through 07/31/2006. OMB 0651-0032

Fees Paid (\$)

180

Moder the Panerwork Reduct	tion Act of 199	5 no persons are require	d to resp	and to a collection	on of information	untess it displays	a valid OMB control number
19. Side of	Elective on 12/08/2004. Fee dus yant to the said solidated Appropriations Act, 2005 (H.R. 4818).			Complete if Known			
				Application Number		10/655,772	
FEE TRANSMITTAL		_ [Filing Date		September 5, 2003		
Fo	r FY 2	2005	F	irst Named In	ventor		u, et al.
Z A ti t - t - i	0			Examiner Name	e		. Kahelin
Applicant claims sma	entity statu	s. See 37 CFR 1.27	<u> </u>	Art Unit			3762
TOTAL AMOUNT OF PAY	MENT (\$	180	1	Attorney Docke	et No.		32-USA
METHOD OF PAYMEN	IT (check a	ll that apply)					
Check Credit	Card	Money Order	None	Other (please identify	٠.	
✓ Deposit Account		50.4	0922	•	•		
	=	account, the Director		•	.ccount Name:_		
✓ Charge fee(s			io nereb				
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	additional fee R 1.16 and 1	e(s) or underpayments	of fee(s	Credi	it any overpay	ments	
VARNING: Information on thi formation and authorization	is form may b	ecome public. Credit ca	rd infor	nation should n	ot be included	on this form. Pro	vide credit card
FEE CALCULATION	1011 - 10-2030	o.					
. BASIC FILING, SEA	RCH, AND FILING			H FEES	EVAMINIA	TION FEES	
=	9	Small Entity		Small Entity		Small Entity	
Application Type	Fee (\$)		ee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fees Paid (\$)
Utility	300	150 5	00	250	200	100	0
Design	200	100 1	.00	50	130	65	
Plant	200	100 3	00	150	160	80	
Reissue	300	150 5	00	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FE	ES						Small Entity
Fee Description Each claim over 20 (including F	Reissues)				<u>Fee (\$)</u> 50	<u>Fee (\$)</u> 25
Each independent cla)			200	100
Multiple dependent of		(managing residue)	,			360	180
Total Claims	Extra Clair	ms Fee (\$)	Fee Pa	aid (\$)			endent Claims
- 20 or HP =		x=	0			Fee (\$)	Fee Paid (\$)
HP = highest number of total		· •					
Indep. Claims - 3 or HP =	Extra Clair	ms Fee (\$)	Fee Pa	<u>iid (\$)</u>			
HP = highest number of inde	pendent claim	s paid for, if greater than :					
. APPLICATION SIZE If the specification and	FEE	•		(excluding e	electronicall	v filed sequen	ce or computer
listings under 37 C	FR 1.52(e)), the application siz	ze fee d	ue is \$250 (\$	125 for sma	all entity) for e	ach additional 50
sheets or fraction the Total Sheets	hereof. See Extra She	35 U.S.C. 41(a)(1)	(G) and	d 37 CFR 1.1	6(s).		
- 100 =		/ 50 =		ound up to a v			

SUBMITTED BY					
Signature	Jamas	Ledon'	Registration No. (Attorney/Agent)	57,488	Telephone (818) 833-5072
Name (Print/Type)	Tomas Lendvai, Ph.D.			Date JUL Z 0 2000	

IDS Fee

Non-English Specification, \$130 fee (no small entity discount)

4. OTHER FEE(S)

Other (e.g., late filing surcharge):

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Zhou, et al.

Art Unit:

3762

Application No.:

10/655,772

Examiner:

M.W. Kahelin

Filed:

September 5, 2003

Confirmation No.:

7230

Docket No.:

S232-USA

Customer No.:

28284

For:

Adherent Metal Oxide Coating Forming a High Surface Area Electrode

Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450 Certificate of Mailing

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Commissioner for Patents
P. O. Box 1450, Alexandria

on <u>July</u>20, 2006

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

__ under 37 CFR 1.97(b), or

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last); or

X under 37 CFR 1.97(c) together with either a:

___ Statement under 37 CFR 1.97(e), or

X a \$180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97(b) time period, but before final action or notice of

allowance, whichever occurs first); or

Attorney Docket No. S232-USA

 unde	er 37 CFR 1.97(d) together with a:
	Statement under 37 CFR 1.97(e), and
	a \$180.00 fee set forth in 37 CFR 1.17(p).
	(Filed after final action or notice of allowance, whichever occurs first, but before
	navment of the issue fee)

X Applicants submit herewith Form PTO SB/08a without copies of US patents, which Applicants believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. Applicants also submit herewith Form PTO SB/08b with copies of the publications which Applicants believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the references is that this is the closest art of which Applicants are aware. Applicants submit that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

JUL 2 0 2006

Date

Tomas Lendvai, Ph.D.

Respectfully submitted,

Reg. No. 57,488

Second Sight Medical Products, Inc. 12744 San Fernando Road, Building 3

Sylmar CA 91342

Phone (818) 833-5072

Fax (818) 833-5080

TLV/dlf

Enclosure(s):

Form PTO/SB/08a and b w/Publications

Fee Transmittal

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JUL 2 5 2006 on Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Complete if Known Application Number 10/655,772 Filing Date September 5, 2003 First Named Inventor Zhou, et al. Art Unit 3762 Examiner Name M.W. Kahelin Attorney Docket Number S232-USA

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 4,240,878	12-23-1980	Carter	
	2	^{US-} 5,109,844	5-5-1992	De Juan, Jr., et al.	
	3	^{US-} 5,571,158	11-5-1996	Bolz, et al.	
	4	^{US-} 5,609,611	3-11-1997	Bolz, et al.	
	5	^{US-} 5,632,770	5-27-1997	Schaldach	
	6	^{US-} 5,822,177	10-13-1998	Popp, et al.	
	7	^{US-} 5,935,155	8-10-1999	Humayan, et al.	
	8	^{US-} 5,871,511	2-16-1999	Bolz, et al.	
	9	^{US-} 5,964,794	10-12-1999	Bolz, et al.	
	10	^{US-} 6,219,581	4-17-2001	Schaldach, et al.	
	11	^{US-} 6,230,061	5-8-2001	Hartung	
	12	^{US-} 6,292,703	9-18-2001	Meier, et al.	
	13	^{US-} 6,522,924	2-18-2003	Meier	
		US-			

		FORE	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ ⁶

Examiner	Date	
Signature	Considered	
_	f	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (07-05) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO		Complete if Known			
Substitute for form 1449/PTO				Application Number	10/655,772
INF	ORMATION	DIS	CLOSURE	Filing Date	September 5, 2003
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Zhou, et al.
				Art Unit	3762
(Use as many sheets as necessary)			ecessary)	Examiner Name	M.W. Kahelin
Sheet	2	of	2	Attorney Docket Number	S232-USA

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	· -
Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	"Platinized Platium Electrodes",A.M. FELTHAM and M. SPIRO, Department of Chemistry, Imperial College of Science and Technology, London S.W.7, England: Chemical Reviews, 1971, Vol. 71, No. 2; pp. 178-193	
	2	"Fractal Coated Leads: Advanced Surface Technology of Genuine Sensing and Pacing", M. SCHALDACH, Department of Biomedical Engineering, Erlangen-Nuremberg, Germany Progress in Biomedical Research, June 2000; pp. 259-272	

	 		_
Examiner	 	Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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